

Docket No.: E3331.0217  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:  
Gregory Mills et al.

Application No.: 09/786,736

Confirmation No.: 4260

Filed: June 18, 2001

Art Unit: 3692

For: COMMUNICATION OF CREDIT FILTERED  
PRICES IN AN ELECTRONIC BROKERAGE  
SYSTEM

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Examiner: Frantzy Poinvil

**AMENDMENT/SUBMISSION AND REQUEST FOR INTERVIEW**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

After entry of the Amendment in Response to Final Office Action filed on October 5, 2007, please further amend the above-identified U.S. patent application as follows before issuance of the next Office Action:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 15 of this paper.